

13049 U.S. PTO
09/10/03

UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

SETI-0006

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Fareed et al., entitled HETEROSTRUCTURE SEMICONDUCTOR DEVICE, for a(n):

(X) Original Patent Application.

() Continuing Application (prior application not abandoned):

() Continuation () Divisional () Continuation-in-part (CIP)
of prior Application No. _____, filed on _____.

() A statement claiming priority under 35 USC § 120 has been added to the specification.

(X) A statement claiming priority under 35 USC § 119(e) has been added to the specification. (for provisional conv.)

Enclosed are:

(X) Specification; 18 Total Pages. (X) Drawing(s); 7 Total Sheets.

(X) Oath or Declaration:

(X) A Newly Executed Combined Declaration and Power of Attorney:

(X) Signed. () Unsigned. () Partially Signed.

() A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

() Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

() Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

() Power of Attorney

(X) Return Receipt Postcard

() Associate Power of Attorney

(X) Check in the amount of \$ 375.00 for the Filing Fee

() Preliminary Amendment

(X) Information Disclosure Citation (Form PTO-1449) with
copies of 32 non-patent references

() Certified Copy of Priority Documents
(if foreign priority is claimed)

(X) Recordation Form Cover Sheet

(X) Applicant asserts small entity status

(X) Assignment in 1 pg.

(X) Check in the amount of \$40 for the Recordation Fee

() Other: _____

CLAIMS AS FILED

| FOR | NO. FILED | NO. EXTRA | RATE | FEE |
|--|-----------|-----------|---------|----------|
| Total Claims | 20 | 0 | \$9.00 | \$0.00 |
| Independent Claims | 3 | 0 | \$42.00 | \$0.00 |
| Multiple Dependent Claim Fee (if applicable) | | | | \$0.00 |
| Assignment Recording Fee (if applicable) | | | | \$0.00 |
| Basic Filing Fee | | | | \$375.00 |
| Total Filing Fee | | | | \$375.00 |

Please charge \$ ----- to Deposit Account No. 500999 pursuant to 37 CFR § 1.25. At any time during the pendency of this application, the Commissioner is hereby authorized to charge any fees required or credit any overpayment to this Deposit Account. A duplicate copy of this sheet is enclosed for fee processing against this Deposit Account.

Respectfully submitted,

By: John W. LaBatt
John W. LaBatt, Attorney of Record, Reg. No. 48,301

Date: September 10, 2003

USPTO Customer No.: 23550

Address: Three E-Comm Square
Albany, New York 12207

Phone: (518) 449-0044

Fax: (518) 449-0047

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

By: Dorothea Rubbone
Typed Name: Dorothea Rubbone

Express Mail Label No.: EV263593858US
Date of Deposit: September 10, 2003

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